# State of Iowa Department of Education Division of Community College and Workforce Preparation Grimes State Office Building Des Moines, Iowa 50319-0146

# Carl D. Perkins Career and Technical Education Act of 2006 (PL 109-270)

# FY 2009 Regional Tech Prep Application Approval Checklist

Applicant/Fiscal Agent	College #				
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The Application is <b>Approve</b>	The Application is <b>Approved</b> (All items are in compliance).				
The Application is <b>Conditio</b>	The Application is <b>Conditional Approved</b> (Condition(s) is cited)				
The Application is <b>Not Approved</b> at this time. (Rationale is cited)					
Reviewer's Signature: Date:					
Cover Page	* * * * *	****	• • • • • • • •		
Cover Page  Requirements	Meets	Does Not Meet	Comments		
	Meets		Comments		

## **List of Tech Prep and Career Academy Education Programs**

A list of career and technical education programs offered by each community college and secondary district during the previous academic year was forwarded to each community college president and secondary superintendent with the announcement of the FY 09-13 Perkins basic application process. Each secondary LEA is to review, revise as necessary, and submit with their Perkins basic application. Signatures are required by the LEA ands the Tech Prep coordinator.

Requirements	Meets	Does Not Meet	Comments
a) A copy of the signed form that lists the CTE Programs offered at the secondary are included for every school in the region. Tech Prep Career and Academy programs must identify the linkage to Community College programs			

#### Part I: Proposed Perkins Performance Level Targets

All recipients are required to accept the State Negotiated Performance Level or negotiate with the State to reach agreement on the adjusted levels of performance for each of the Perkins core indicators. If a recipient elects to negotiate with the state, the recipient must propose a performance target that demonstrates that the recipient will make progress toward meeting the State Negotiated Performance Level. The proposed target must be expressed in a percentage form so as to be objective, quantifiable, measurable, and provide supportive rationale.

The additional Tech Prep indicators are not required to have a state negotiated level so each recipient will be required to negotiate with the State to reach agreement on the adjusted levels of performance for each Tech Prep indicator that baseline data can be provided.

<u>Requirements</u>	Meets	Does Not Meet	Comments
The recipient has attached the Performance Level Form			
<ol> <li>The recipient has entered in their Proposed Performance Targets for 2009.</li> </ol>			
3) If the performance levels are different than the State Negotiated Performance Levels, then rationale has been provided.			

# **Part II: Description of Processes and Services**

1. Describe the operational procedures for the consortium that will be utilized to plan and implement the activities described in this application. The signed 28E agreement must be attached. Perkins Act Title II, [Sec. 203(a)(1)]

Requirements	Meets	Does Not	Comments
Description includes the operational procedures of the consortium.		Meet	
2) 28E agreement is attached			

2. Describe how the consortium will provide effective activities that lead to employment placement or the transfer of students to baccalaureate or advanced degree programs. Perkins Act Title II, [(Sec. 204(d)(1)]

Requirements	Meets	Does Not	Comments
		Meet	
Description includes how the consortium will provide effective activities that lead to employment placement or the transfer of students to baccalaureate or advanced degree programs.			

3. Describe the process utilized by the Consortium to consult with business, industry, institutions of higher education, and labor organizations within the region in developing the five-year plan. Perkins Act Title II, [(Sec. 204(d)(2)]

	Requirements	Meets	Does Not	Comments
			Meet	
1)	Description includes the process utilized by the			
	Consortium to consult with business, industry,			
	institutions of higher education, and labor			
	organizations within the region in developing the			
	five-year plan.			

4. Describe how the Consortium addresses the issues of school dropout prevention and reentry and the needs of special populations. Perkins Act Title II, [(Sec. 204(d)(3)]

<u> </u>	1 1		/ L \	\ /\ /3
Requiremen	ts	Meets	Does Not	Comments
			Meet	
Description includes how the dissues of school dropout prevent needs of special populations.				

5. Describe how the Consortium will provide education and training in area or skills, including emerging technology, in which there is a significant workforce shortage based on the data provided by the eligible entity in the state under 118 (Iowa Department of Education). Perkins Act Title II, [(Sec. 204 (d) (4)]

	Requirements	Meets	Does Not Meet	Comments
training in area technology, in v workforce short	tium will provide education and or skills, including emerging which there is a significant age based on the data provided by y in the state under 118 (Iowa Education).			

6. Describe how tech prep academy programs will help students meet high academic and employability competencies. Perkins Act Title II, [Sec. 203(c)(2)(D)], [Sec. 204(d)(5)]

Requirements	Meets	Does Not	Comments
		Meet	
Description explains how tech prep programs will help students meet high academic and employability competencies.			

7. Describe how the consortium has demonstrated success in coordinating with activities under Title I (Basic Perkins). Perkins Act Title II, [Sec. 204(d)(6)]

Requirements	Meets	Does Not	Comments
•		Meet	
Description explains how the consortium has demonstrated success in coordinating with activities under Title I (Basic Perkins).			

# **Part IV:** Budget Summary

Requirements	Meets	Does Not Meet	Comments
1) Budget Summary is present.			
3) Budget amounts equal the sum of each activity identified in Part V and the FY09 Application total equals all of the parts.			
4) FY 09 Application Total is equal to the cover page and is equal to or less than the allocated amount.			

# **Part V:** Action Plan for Required Activities

Required Activity #1 – Be carried out under an articulation agreement between the participants in the consortium; (Section 203(c)(1) of the Perkins Act of 2006)

	1501 tlulli, (Section 205(c)(1)of the Ferkins Act of 2000)			_
	Requirements	Meets	Does Not	Comments
			Meet	
1)	Action plan describes the recipient's initiatives to			
	ensure that programs are carried out under an			
	articulation agreement between the participants in			
	the consortium			
	The Action plan is filled out completely.			
2)	The years for the initiative are indicated (if one-year			
	plan, only current year needs to be marked)			
3)	Measurable outcomes are described, including the			
	date(s) of anticipated completion.			
4)	Recipient has identified staff assigned and			
	identified the CTE programs involved to			
	accomplish this initiative.			
5)	The application identifies the FY09 Tech Prep			
	funding amount budgeted for each project/initiative.			
6)	If the applicant is completing a 5-year application,			
	the other year's funding is estimated. If applicable,			
	other funding sources are indicated with an (X)			

Required Activity #2 — Develop programs of study for Tech Prep programs. Required elements of "Program of Study" are in the definition section of plan. (Section 203(c)(1&2)of the Perkins Act of 2006) (Action plan must include an initiative that is dedicated to the development or implementation of Tech Prep/Career Academy programs of study--See Definitions and/or an initiative that references completed program of study that have been submitted with this application.)

	Requirements	Meets	Does Not Meet	Comments
1)	Action plan describes the recipient's initiatives to develop and implement programs of study within Tech Prep programs. OR an initiative that references completed program of study that have been submitted with this application.		Meet	
2) 3) 4)	The Action plan is filled out completely.  The years for the initiative are indicated (if one-year plan, only current year needs to be marked)  Measurable outcomes are described, including the date(s) of anticipated completion.  Recipient has identified staff assigned and identified the CTE programs involved to accomplish this initiative.			
5)	The application identifies the FY09 Tech Prep funding amount budgeted for each project/initiative. If the applicant is completing a 5-year application, the other year's funding is estimated. If applicable, other funding sources are indicated with an (X)			

<u>Required Activity #3</u> – Develop Tech Prep programs – (See Definitions) for secondary education and postsecondary education that—

- (A) meet academic standards developed by the State;
- (B) link secondary schools and 2-year postsecondary institutions, and if possible and practicable, 4-year institutions of higher education, through—
  - 1. non-duplicative sequences of courses in career fields; (ii) the use of articulation agreements; and (ii) the investigation of opportunities for tech prep sec. education students to enroll concurrently in secondary education and postsecondary education coursework;
- (C) use, if appropriate and available, work-based or worksite learning experiences in conjunction with business and all aspects of an industry; and
- (D) use educational technology and distance learning, as appropriate, to involve all the participants in the consortium more fully in the development and operation of programs; (Section 203(c)(3) of the Perkins Act of 2006)

#### Consist of a program of study that—

- (A) combines— (i) a minimum of 2 years of secondary education (as determined under State law); with (ii) a minimum of 2 years of postsecondary education in a non-duplicative, sequential course of study; or (iii) an apprenticeship program of not less than 2 years following secondary education instruction; and
- (B) integrates academic and career and technical education instruction, and utilizes work-based and worksite learning experiences where appropriate and available;
- (C) provides technical preparation in a career field, including high skill, high wage, or high demand occupations;
- (D) builds student competence in technical skills and in core academic subjects (as defined in section 9101 of the Elementary and Secondary Education Act of 1965), as appropriate, through applied, contextual, and integrated instruction, in a coherent sequence of courses;
- (E) leads to technical skill proficiency, an industry recognized credential, a certificate, or a degree, in a specific career field;

(F) leads to placement in high skill or high wage employment, or to further education; and (G) utilizes career and technical education programs of study, to the extent practicable.

	(G) attrizes career and teeminear cadeation progr		<u> </u>	
	Requirements	Meet	Does Not	Comments
		S	Meet	
1)	Action plan describes the recipient's initiatives to			
	Develop Tech Prep programs.			
	The Action plan is filled out completely.			
2)	The years for the initiative are indicated (if one-year			
	plan, only current year needs to be marked)			
3)	Measurable outcomes are described, including the			
	date(s) of anticipated completion.			
4)	Recipient has identified staff assigned and identified			
	the CTE programs involved to accomplish this			
	initiative.			
5)	The application identifies the FY09 Tech Prep funding			
	amount budgeted for each project/initiative.			
6)	If the applicant is completing a 5-year application, the			
	other year's funding is estimated. If applicable, other			
	funding sources are indicated with an (X)			
	6			

<u>Required Activity #4</u> – Provide professional development for teachers, faculty, and administrators that—

- (A) supports effective implementation of tech prep/career academy programs;
- (B) supports joint training in the tech prep/career academy consortium;
- (C) supports the needs, expectations, and methods of business and all aspects of an industry;
- (D) supports the use of contextual and applied curricula, instruction, and assessment;
- (E) supports the use and application of technology; and
- (F) assists in accessing and utilizing data, information available pursuant to section 118, and information on student achievement, including assessments; (Section 203(c)(4) of the Perkins Act of 2006)

	Requirements	Meets	Does Not Meet	Comments
1)	Action plan describes the recipient's initiatives to provide professional development for teachers, faculty, and administrators			
2) 3) 4) 5) 6)	The Action plan is filled out completely.  The years for the initiative are indicated (if one-year plan, only current year needs to be marked)  Measurable outcomes are described, including the date(s) of anticipated completion.  Recipient has identified staff assigned and identified the CTE programs involved to accomplish this initiative.  The application identifies the FY09 Tech Prep funding amount budgeted for each project/initiative.  If the applicant is completing a 5-year application, the other year's funding is estimated. If applicable, other			
	funding sources are indicated with an (X)			

<u>Required Activity #5</u> – Provide professional development programs for counselors designed to enable counselors to more effectively—

- (A) provide information to students regarding tech prep/career academy programs;
- (B) support student progress in completing tech prep/career academy programs, which may include the use of graduation and career plans;
- (C) provide information on related employment opportunities;
- (D) ensure that students are placed in appropriate employment or further postsecondary education;
- (E) stay current with the needs, expectations, and methods of business and all aspects of an industry; and
- (F) provide comprehensive career guidance and academic counseling to participating students, including special populations;
  (Section 203(c)(5) of the Perkins Act of 2006)

	Requirements	Meets	Does Not Meet	Comments
1)	Action plan describes the recipient's initiatives to			
	Provide professional development programs for			
	counselors			
	The Action plan is filled out completely.			
2)	The years for the initiative are indicated (if one-year			
	plan, only current year needs to be marked)			
3)	Measurable outcomes are described, including the			
	date(s) of anticipated completion.			
4)	Recipient has identified staff assigned and			
	identified the CTE programs involved to			
	accomplish this initiative.			
5)	The application identifies the FY09 Tech Prep			
	funding amount budgeted for each project/initiative.			
6)	If the applicant is completing a 5-year application,			
	the other year's funding is estimated. If applicable,			
	other funding sources are indicated with an (X)			

Required Activity #6 – Provide equal access, to the full range of technical preparation programs (including pre-apprenticeship programs), to individuals who are members of special populations, including the development of tech prep/career academy program services appropriate to the needs of special populations; (Section 203(c)(6) of the Perkins Act of 2006)

	Requirements	Meets	Does Not Meet	Comments
1)	Action plan describes the recipient's initiatives to provide equal access, to the full range of technical preparation programs (including pre-apprenticeship programs), to individuals who are members of special populations, including the development of tech prep/career academy program services appropriate to the needs of special populations			
2) 3) 4)	The Action plan is filled out completely.  The years for the initiative are indicated (if one-year plan, only current year needs to be marked)  Measurable outcomes are described, including the date(s) of anticipated completion.  Recipient has identified staff assigned and			
7)	identified the CTE programs involved to accomplish this initiative.			
5)	The application identifies the FY09 Tech Prep funding amount budgeted for each project/initiative.			
6)	If the applicant is completing a 5-year application, the other year's funding is estimated. If applicable, other funding sources are indicated with an (X)			

<u>Required Activity #7</u> – Provide for preparatory services that assist participants in tech prep programs. (Section 203(c)(7)of the Perkins Act of 2006)

	Requirements	Meets	Does Not	Comments
	Requirements	Meets		Comments
			Meet	
1)	Action plan describes the recipient's initiatives to			
	provide for preparatory services that assist participants in			
	tech prep programs.			
	The Action plan is filled out completely.			
2)	The years for the initiative are indicated (if one-year			
	plan, only current year needs to be marked)			
3)	Measurable outcomes are described, including the			
	date(s) of anticipated completion.			
4)	Recipient has identified staff assigned and			
	identified the CTE programs involved to			
	accomplish this initiative.			
5)	The application identifies the FY09 Tech Prep			
	funding amount budgeted for each project/initiative.			
6)	If the applicant is completing a 5-year application,			
	the other year's funding is estimated. If applicable,			
	other funding sources are indicated with an (X)			

Required Activity #8 – Provide coordination with activities conducted under title I. (Section 203(c)(8) of the Perkins Act of 2006)

203	(c)(8)of the Perkins Act of 2006)			
	Requirements	Meets	Does Not Meet	Comments
1)	Action plan describes the recipient's initiatives to provide coordination with activities conducted under title I (Perkins Basic)			
	The Action plan is filled out completely.			
2)	The years for the initiative are indicated (if one-year plan, only current year needs to be marked)			
3)	Measurable outcomes are described, including the date(s) of anticipated completion.			
4)	Recipient has identified staff assigned and identified the CTE programs involved to accomplish this initiative.			
5)	The application identifies the FY09 Tech Prep funding amount budgeted for each project/initiative.			
6)	If the applicant is completing a 5-year application, the other year's funding is estimated. If applicable, other funding sources are indicated with an (X)			

## Part VI: Action Plan for Permissive Activities

(Complete for each permissive activity identified by the recipient)

Permissive Activity # Insert Number (\_\_\_\_\_)

	Requirements	Meets	Does Not Meet	Comments
1)	Action plan describes the recipient's initiatives to accomplish the permissive activity listed above.			
2) 3) 4)	The Action plan is filled out completely.  The years for the initiative are indicated (if one-year plan, only current year needs to be marked)  Measurable outcomes are described, including the date(s) of anticipated completion.  Recipient has identified staff assigned and identified the CTE programs involved to			
5) 6)	accomplish this initiative.  The application identifies the FY09 Tech Prep funding amount budgeted for each project/initiative. If the applicant is completing a 5-year application, the other year's funding is estimated. If applicable, other funding sources are indicated with an (X)			

## Part VII: Action Plan for Administrative Costs

<u>Administrative Costs:</u> (*Permissive*) Each eligible recipient receiving funds under this grant award shall not use more than 5 percent of the funds for administrative costs associated with the administration of the activities conducted through the award.

Requirements	Meets	Does Not	Comments
		Meet	
The recipient is budgeting not more than 5 percent of the funds for administrative costs associated with the administration of the activities conducted through the award.			

# PART VII: Assurances/Agreements - Fiscal Year 2009-2013

A. <u>CONSORTIUM APPLICANT</u>: To receive funds through the Carl D. Perkins Act Title II, the chief executive officer of the fiscal agent and all members of the consortium must sign the assurance statement on behalf of the eligible recipients to certify their commitment to abide by the requirements through the period of the grant award.

	Requirements	Meets	Does Not	Comments
			Meet	
1)	Signatures of the following: The executive officer of the fiscal agent			
2)	Each consortium member			
3)	Regional planning Board or regional advisory committee chair (If utilized).			

# PART VIII: Regional Tech Prep Application Checklist

	Requirements	Meets	Does Not Meet	Comments
The	e following items have been submitted with the			
applicat	ion.			
1)	Cover Page			
2)	List of Tech Prep and Career Academy			
	Education Programs. A copy of each			
	secondary school programs as submitted within			
	Perkins Basic)			
3)	Copy of completed tech prep and career			
	academy programs of study (R.2)			
4)	Accountability Requirements/Performance			
	Data, (Part I)			
5)	Description of Processes and Services (Part II)			
6)	Budget Summary (Part III)			
7)	Action plans for required activities are all			
	included (Part IV)			
8)	Action plans for permissive activities - optional			
	(Part V)			
	Action plan for administrative costs (Part VI)			
10)	Assurance/agreements (Part VII) (Signed by all			
	members)			
11)	Copy of Tech Prep Application Checklist (Part			
	VIII)			